

1 heartbeat away" statement allegedly made by petitioner directly followed a conversation  
2 initiated by Oglesby after Oglesby had returned from a visit with a friend of petitioner.  
3 Petitioner allegedly delivered the note to Oglesby directly in response to Oglesby's  
4 comment to petitioner that petitioner's co-defendant "Blacky was on the streets and free."  
5 Shortly after delivering the note to Oglesby, petitioner allegedly went to Oglesby and made  
6 additional incriminating statements concerning Blacky. This conversation pertaining to  
7 Blacky occurred three or four meetings after Oglesby provided Fitzgerald with the first  
8 information pertaining to the escape.  
9

10 34. Oglesby acknowledged that many of the conversations he had with  
11 petitioner were "dialogues." Oglesby testified that he had at least 30 conversations with  
12 petitioner once he had gained petitioner's confidence.  
13

14 35. George Oglesby was a governmental agent whose testimony was  
15 inadmissible. Admission of it was prejudicial because Oglesby testified to a purported  
16 confession by petitioner. He was the only witness to testify about a purported plan by  
17 petitioner for his violent escape in which civilians and law enforcement would be killed.  
18 Absent this evidence petitioner would not have been convicted of sentenced to death.  
19

20 36. Commencing on May 6, 1992, retired Judge Paul Egly acted as a referee  
21 on behalf of California Supreme Court and took evidence on questions posed by the  
22 Court. Exhibit "A" to this petition for writ of habeas corpus is a true and correct copy of  
23 the findings of the referee.  
24

#### 25 H.

26 [Appellate Due Process/  
27 Governmental Misconduct/Fair Hearings]

28 Petitioner's Fifth, Sixth, Eighth and Fourteenth Amendment right to due process,  
notice, a fair hearing, the effective assistance of counsel, compulsory process and

1 non-arbitrary and reliable decision-making were stated because his 1985 evidentiary  
2 hearing was marred by governmental misconduct in the form of a massive failure to  
3 disclose relevant, exculpatory evidence; the California Supreme Court based its legal ruling  
4 against petitioner on a subsequently decided case which purportedly altered the law, and  
5 the 1991-1992 evidentiary hearing was prejudicially marred by witness intimidation which  
6 interfered with petitioner right to present evidence.  
7

8 The following facts among others to be presented after full investigation and  
9 discovery support this claim:

10 1. The facts contained in paragraphs I and G of this petition and are  
11 incorporated by this reference.  
12

13 2. At the first hearing, petitioner, through counsel, litigated the legality of  
14 the conduct of law enforcement and informer George Oglesby in obtaining statements that  
15 were used against petitioner at his trial. The Referee appointed by the Supreme Court  
16 found Oglesby to be a government agent within the meaning of United States v. Henry,  
17 447 U.S. 264 (1980) subsequent to May 25, 1979.  
18

19 3. At the time of the 1985 evidentiary hearing, the controlling case with  
20 respect to whether police and informant conduct violated the constitution was United  
21 States v. Henry, 447 U.S. 264 (1980). The issue was whether George Oglesby was a  
22 governmental agent and if so, whether trial counsel rendered inadequate assistance in  
23 failing to seek exclusion of Oglesby's testimony. The hearing was marred by the  
24 presentation of false testimony, by the state's massive failures to disclose material evidence  
25 and the state's failure to correct perjured testimony. Petitioner incorporates the facts set  
26 forth in claims G and I.  
27

28 4. Thereafter the United States Supreme Court issued its decision in

1 Kuhlman v. Wilson, 477 U.S. 436 (1986).

2           5. In 1988, the California Supreme Court rejected petitioner's challenge  
3 based in part on the fact that although a constitutional violation may have existed under  
4 Henry, Kuhlman v. Wilson required a different or greater factual showing.  
5

6           6. If the California Supreme Court is correct, then this retroactive  
7 application of subsequent case law to defeat petitioner's challenge without affording him an  
8 opportunity to meet the alleged new elements deprived petitioner arbitrarily of his  
9 constitutional rights to notice of the controlling rules, to a fair hearing on the conduct of  
10 law enforcement and the informer, the effective assistance of counsel at the hearing, and  
11 to a reliable, non-arbitrary adjudication of his guilt and sentence determinations.  
12

13           7. Thereafter, in light of the publicity surrounding the use of informants by  
14 Los Angeles law enforcement, particularly the Sheriff's Department, a second evidentiary  
15 hearing was ordered solely on the issue of whether George Oglesby was a police informant.  
16

17           8. Petitioner unsuccessfully sought, but did not receive, an order to show  
18 cause or an evidentiary hearing on the issue of whether the government procured false  
19 testimony, whether the government violated its duty to disclose material evidence, whether  
20 false testimony was in fact presented at petitioner's trial, and whether the government  
21 failed to correct perjured testimony.

22           9. Several former Los Angeles County jail inmates, including Sidney Storch,  
23 Leslie White, Steve Cisneros, Larry Montez, and Ferril Mickens were scheduled to testify  
24 in support of petitioner's claim that George Oglesby was a police agent. (Although George  
25 Oglesby was also scheduled to testify he had a heart attack and died immediately prior to  
26 his being transported from the California Training Facility at Soledad.)  
27

28           10. In early February one of petitioner's lawyers spoke to the California

1 Department of Corrections personnel responsible for inmate removal and transportation,  
2 provided them with the names and prison identification numbers of petitioner, Oglesby,  
3 Cisneros, Montez and Mickens, and explained that the witnesses were informants and  
4 should not be transported or housed with petitioner. (In fact, the transportation  
5 arrangement would have had petitioner, Mickens and Oglesby on the same bus.) The  
6 Attorney General is counsel to the Department of Corrections.  
7

8 11. On February 19, 1992, two weeks before the scheduled start of  
9 petitioner's evidentiary hearing, the Attorney General publicly revealed that Sidney Storch  
10 had been indicted in April, 1991. The Attorney General discussed the contemplated  
11 prosecution in the media.  
12

13 12. On March 3, two days before the actual start of petitioner's evidentiary  
14 hearing, the Attorney General announced the arrest and indictment of Leslie White.  
15

16 13. As a direct result of this action Sidney Storch and Leslie White were  
17 rendered unavailable as material witnesses. Also, as a direct result of this action, Montez,  
18 Mickens and Cisneros stated they would not testify on petitioner's behalf because of fear of  
19 reprisals given that they were still in the custody of the state or county. After his release  
20 and long after the hearing, one of these potential witnesses contacted petitioner's counsel  
21 and offered to testify now that he was not in danger of state retaliation.  
22

23 14. The state's action deprived petitioner of crucial relevant testimony at the  
24 evidentiary hearing. In summary, two of the potential witnesses were housed in the county  
25 jail at the same time as petitioner and Oglesby and were well aware of the methods used  
26 by the sheriff's deputies who were responsible for handling Oglesby and petitioner, to  
27 circumvent a defendant's right to counsel, including the manipulation of cell assignments to  
28 get information from targeted inmates and the provision of information by the sheriff's

1 department to informants. One of these witnesses occupied a cell direct adjacent to  
2 petitioner.

3 15. In light of the witnesses' fear of reprisals, petitioner's counsel sought use  
4 immunity for these witnesses from the hearing referee. Counsel's motion was denied.  
5

6 16. The state's intimidation of these witnesses was successful. Neither the  
7 referee nor the California Supreme Court took any ameliorative action to safeguard  
8 petitioner's rights to compulsory process, a full and fair hearing, and a reliable deter-  
9 mination of the facts. None of these crucial witnesses testified. Petitioner was thereby  
10 deprived of a fair hearing by the state and by gross prosecutorial overreaching.  
11

12 L

13 [Brady/Governmental Misconduct/  
14 False, Unreliable Evidence]

15 Petitioner's conviction and death sentence were obtained in violation of the Sixth,  
16 Eighth and Fourteenth Amendment rights to compulsory process, a fair trial, a reliable  
17 guilt and penalty determination in that the state presented false testimony, failed to correct  
18 false testimony, presented knowingly perjured testimony and failed to disclose material,  
19 potentially exculpatory and impeaching evidence.<sup>5</sup>

20 In addition to the violations of petitioner's rights to a fair trial, to the assistance of  
21 counsel, to confrontation and to compulsory process, and to a reliable, non-arbitrary guilt  
22 and penalty determination alleged herein, revelations involving the Los Angeles County  
23 Jail, the Los Angeles district Attorney's Office, the Los Angeles Sheriff's Department and  
24 the Los Angeles Police Department suggested that further infringements on these rights  
25

26 <sup>5</sup> See also, Napue v. Illinois, 360 U.S. 264 (1959); Brady v. Maryland, 373 U.S. 83  
27 (1963); Giglio v. United States, 405 U.S. 150 (1972); Johnson v. Mississippi, 486 U.S. 578  
28 (1990); Brown v. Brog, 951 F.2d 1011 (9th Cir. 1991); United States v. Kojavan, 8 F.3d  
1315 (9th Cir. 1993); United States v. Young, 17 F.3d 1201 (9th Cir. 1994); Sanders v.  
Sullivan, 863 F.2d 218 (2nd Cir. 1988); Sanders v. Sullivan, 900 F.2d 601 (2nd Cir. 1990).

1 than are now fully known occurred.

2 The following facts, among others to be presented after adequate funding, full  
3 investigation and discovery, support the claim:

4 1. The facts set forth in paragraphs E, F, G, and H of this petition are  
5 incorporated herein by this reference.  
6

7 2. In the fall, 1988, Los Angeles County Jail inmate and informer Leslie  
8 White demonstrated to representatives of law enforcement and the media the ease with  
9 which an inmate could provide an alleged confession by another inmate without ever  
10 talking with that inmate about his case and the ease with which a jailhouse informer may  
11 fabricate evidence generally. He further provided examples of cases in which false  
12 testimony had been provided.  
13

14 3. This demonstration led to numerous other revelations and information  
15 calling into question the legality and propriety of the conduct of the prosecutor's office,  
16 sheriff's department, police department and other law enforcement personnel in Los  
17 Angeles County from 1978 to the present. See Exhibit 35 of Petition for a Writ of Habeas  
18 Corpus, filed in the California Supreme Court on January 9, 1989.  
19

20 4. In response, the Los Angeles District Attorney's Office began a review  
21 and alteration of its policies, and assembled a list of cases in which jailhouse informer  
22 testimony was used by the prosecution.

23 5. That list was published by the Los Angeles Daily Journal on Tuesday,  
24 January 1, 1989. Petitioner's case was on that list.

25 6. On December 15, 1988, Los Angeles Superior Court Judge Richard  
26 Byrne issued a protective order requiring the sheriff's department to preserve all relevant  
27 jail records between 1977 to the present. On December 16, 1988, the District Attorney's  
28

1 Office stipulated that it would be bound by a similar order.

2 7. Had trial counsel, as a reasonably competent counsel acting as a zealous  
3 advocate, known of the information that has recently come to light about the informer  
4 problem in the jail and the practices of law enforcement in securing jailhouse informer  
5 testimony, he would have challenged the testimony of George Oglesby on due process  
6 grounds in addition to the California Evidence Code section 352 grounds on which his  
7 objection was made.  
8

9 8. In 1989, after petitioner's execution date came and went, his counsel  
10 learned for the first time that valuable impeaching evidence existed and had been in the  
11 possession of the state and its agents since 1981. The Office of the District Attorney  
12 provided, for the first time, a tape made in January, 1979 of an interview with Leslie White  
13 in which he made specific allegations concerning the lack of veracity of George Oglesby, a  
14 key prosecution witness in this case.  
15

16 9. The January, 1979 tape reveals that Oglesby falsified testimony in other  
17 cases and that the false testimony had been procured by Sheriff's Lt. Fitzgerald.  
18

19 10. As a result of receipt of this information, petitioner's counsel met with  
20 Leslie White in March, 1989 at the Los Angeles County Jail. There, White disclosed that  
21 George Oglesby was his "foster father," that he had been released by a court to Oglesby's  
22 care and that the two of them had often discussed how to obtain information to fabricate  
23 confessions by prisoners.  
24

25 11. As a result of the belated governmental disclosure, petitioner's counsel  
26 learned from White that Oglesby had put a story together about confessions and  
27 admissions allegedly made by petitioner when the two were incarcerated in high power.  
28 Oglesby told White that he had gotten the "stupid nigger" to draw a map to incriminate

1 him in connection with the escape plan which Oglesby was manufacturing in an attempt to  
2 reduce Oglesby's first degree murder charge.

3 12. Oglesby told White he was told by Sgt. John Allender to "go in there and  
4 get information" regarding petitioner. Oglesby received details of the investigation of  
5 petitioner from Lt. Fitzgerald. Oglesby committed perjury in another case, People v.  
6 Bracero. That case and testimony had been used by the state in petitioner's evidentiary  
7 hearing in 1985 as demonstrating the reliability of George Oglesby.

8 13. Had the state not suppressed this evidence, it would have been available  
9 to petitioner's counsel at trial to seek exclusion of Oglesby's testimony and to impeach Lt.  
10 Fitzgerald and Sgt. Allender. It would have been available to impeach Oglesby. The jury  
11 would have disbelieved Oglesby and law enforcement.

12 14. Still later, on June 13, 1989, petitioner's counsel received a copy of  
13 another tape for the first time. This tape disclosed that Leslie White and George Oglesby  
14 concocted a scheme to accuse falsely another person of murder as a means to procure Mr.  
15 White's freedom from jail. Oglesby was to receive payment for his participation. Law  
16 enforcement had not previously disclosed this tape. It was made on March 19, 1978, and  
17 therefore was available and in the possession of law enforcement at the time of petitioner's  
18 trial. Had it been disclosed, counsel would have similarly used its contents to impeach  
19 prosecution witnesses.

20 15. Petitioner became aware for the first time during these proceedings that  
21 in 1981, a Los Angeles County prosecutor in another case received information from  
22 George Oglesby concerning a purported confession by the defendant in that case, People v.  
23 Joe Morgan, Los Angeles Superior Court No. A355840. Prosecutor (now Judge) Dennis  
24 Choate declined to use Oglesby because Choate did not believe him. According to Judge  
25



1 Choate, Oglesby was a father figure to Leslie White and probably taught White the  
2 business of being a jailhouse informant.

3 16. Had this information been available to petitioner's counsel, he would have  
4 been able to successfully suppress Oglesby's testimony, or minimally to totally destroy his  
5 veracity as a witness before the jury.  
6

7 17. Had the information about the pattern and practice of the investigating  
8 officers in this case been available, counsel would have been able to wholly undermine not  
9 only their testimony and the testimony of George Oglesby, but also the testimony of those  
10 other informants in this case -- the Garretts, Coleman, Coward -- who had dealings with  
11 the same investigating officers. The result of petitioner's trial would have been different  
12 because he would not have been convicted.  
13

14 J.

15 [IAC/Governmental Agent]

16 Trial counsel rendered ineffective assistance of counsel in violation of petitioner's  
17 Sixth and Fourteenth Amendment rights when he unreasonably failed to object or move to  
18 suppress the testimony of George Oglesby under the Sixth Amendment. This failure  
19 substantially prejudiced petitioner.

20 In addition, the following facts, among others to be presented after full investigation  
21 and discovery support this claim:

22 1. Those facts set forth in paragraph G of this petition are hereby  
23 incorporated by this reference.

24 2. Trial counsel did no legal research on the Sixth and Fourteenth  
25 Amendment issue presented by the Oglesby testimony and was unfamiliar with United  
26 States v. Henry, 447 U.S. 264 (1980) at the time of trial.  
27

28 3. Trial counsel did little or no factual investigation to determine the

1 viability of a challenge to the evidence upon Sixth and Fourteenth Amendment grounds.

2 4. Trial counsel did not file a discovery motion or otherwise inquire of the  
3 State's representatives to determine the factual viability of a challenge to the Oglesby  
4 evidence on Sixth and Fourteenth Amendment grounds.  
5

6 K.

7 [Lack of Miranda Warnings  
by Governmental Agent]

8 Petitioner was denied his 5th and 14th Amendment rights against self incrimination  
9 by the admission of the testimony of jailhouse informer George Oglesby including  
10 admissions and confessions which were the product of interrogation by this police agent  
11 without benefit of Miranda warnings. This error substantially prejudiced petitioner.  
12

13 The following facts, among others to be presented after full investigation and  
14 discovery further support this claim:

15 1. Those facts set forth in paragraph G of this petition and are incorporated  
16 by this reference.

17 2. At all times that Oglesby and petitioner spoke Williams was incarcerated  
18 and in custody.  
19

20 3. Frequently petitioner was 'interrogated' by Oglesby with the design of  
21 eliciting incriminating statements from petitioner.

22 4. The interrogation by Oglesby was undertaken as an agent of the police.

23 5. At no time was petitioner provided with warnings required by Miranda v.  
24 Arizona 384 U.S. 436 (1966).  
25

26 L.

27 [IAC-Miranda Warnings by Governmental Agent]

28 Trial Counsel rendered ineffective assistance of counsel in violation of petitioner's  
6th and 14th Amendment rights when he unreasonably failed to move to suppress the

1 testimony of George Oglesby under the 5th Amendment. This failure substantially  
2 prejudiced petitioner.

3 The following facts, among others to be presented after full investigation and  
4 discovery support this claim:

5  
6 1. Those facts set forth in paragraphs G, J and K of this petition are  
7 incorporated by this reference. In addition,

8 2. Trial counsel did no legal research on the Fifth and Fourteenth  
9 Amendment issue presented by the Oglesby testimony.

10 3. Trial counsel did little or no factual investigation to determine the  
11 viability of a challenge to the evidence upon 5th Amendment grounds.

12 4. Trial counsel did not file a discovery motion or otherwise inquire of the  
13 State's representatives to determine the factual viability of a challenge to the Oglesby  
14 evidence on 5th and 14th Amendment grounds.

15  
16 M.

17 [Informant Instruction]

18 Petitioner was denied his right to due process of law and a fair trial under the Fifth,  
19 Eighth, and Fourteenth Amendments to the United States Constitution by the trial court's  
20 failure to sua sponte instruct the jury that the testimony of informers should be viewed  
21 with suspicion and distrust and is inherently unreliable. In a capital case due process and  
22 the right to a reliable guilt and death determination requires such an instruction. This  
23 error substantially prejudiced petitioner.

24  
25 The following facts, among other to be presented after full funding, investigation  
26 and discovery further support this claim:

27 1. Those facts contained in paragraphs E, F, G, and I of this petition which  
28 are incorporated herein by this reference.

2. The evidence against petitioner in this case came primarily and substantially from the mouths of informers (both in and out of custody) and accomplices. The informers or accomplices who testified were: (a) George Oglesby; (b) James Garrett, RT 1648-1839; (c) Esther Garrett, RT 1899-1934; (d) Alfred Coward, RT 2093-2260; and (e) Samuel Coleman, RT 1553-1641.

3. Jailhouse informers and criminal informers generally have been universally recognized by the California judiciary as those whose information should be viewed with suspicion because they are "generally motivated by something other than good citizenship." See People v. Smith, 17 Cal.3d 845, 850-851 (1976); People v. Schmidt, 102 Cal.App.3d 172, 178 (1980).

4. The omission of an instruction -- similar to that provided in federal court prosecutions -- that the testimony of the informers in this case was to be viewed with distrust and suspicion and was unreliable, rendered the trial so fundamentally unfair and the results so unreliable as to deny petitioner his constitutional rights.

N.

**[Purported Escape Evidence/  
Misleading Instructions]**

Petitioner's Fifth, Sixth, Eighth, and Fourteenth Amendment rights to the presumption of innocence, conviction upon proof beyond a reasonable doubt, to the enforcement of vested state-created rights, and to a guilt and penalty verdict based on reliable (not speculative), accurate (not misleading) information were violated by the introduction of evidence of a purported escape plan and misleading instructions which erroneously informed the jury that the evidence qualified as an attempted escape so it could be considered in determining guilt, and erroneously permitted the jury to use this evidence as statutory aggravating evidence during the penalty phase.

1       The facts, among others to be presented at an evidentiary hearing, which support  
2 this claim are:

3           1. During the guilt phase of petitioner's trial, over objection, the prosecutor  
4 was permitted to present testimony of George Oglesby concerning an alleged plan of  
5 escape, purportedly designed by petitioner.  
6

7           2. The evidence was admitted as consciousness of guilt and the jury was  
8 permitted to use it in deciding petitioner's guilt.

9           3. The prosecutor erroneously labelled the purported escape plan as an  
10 attempted escape and argued that it showed a consciousness of guilt. The prosecutor also  
11 argued that an innocent person would not attempt an escape and that the "attempt to  
12 escape" showed that petitioner was guilty.  
13

14           4. The instructions delivered to the jury erroneously and prejudicially  
15 referred to the map and the purported grandiose plan to hijack and blow-up a  
16 transportation bus as an attempted escape.<sup>6</sup>

17           5. Under the mandatory provisions of state statute, only relevant evidence is  
18 admissible, see Cal. Evid. Code §350, and evidence of consciousness of guilt tending to  
19 prove guilt is only relevant if it occurs immediately after the crime and consists of flight.  
20 See CALJIC No. 2.52. The purported plan here was neither.  
21

22           6. Nor did the plan constitute an attempted escape under state law. Under  
23 state law two elements are necessary to establish an attempt: a specific intent to commit a  
24 crime and a direct ineffectual act done towards its commission. Preparation alone is not  
25

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26       <sup>6</sup> The instruction told the jury that "The attempted escape of a person after the  
27 commission of a crime, or after he is accused of a crime, is not sufficient in itself to  
28 establish his guilt, but it is a fact which, if proved, may be considered by you in the light of  
all other proved facts in deciding the question of his guilt or innocence. The weight to  
which such circumstance is entitled is a matter for the jury to determine." CT 462.

1 enough. Some appreciable fragment of the crime must be committed and it must be in  
2 such progress that it will be consummated unless interrupted by circumstances independent  
3 of the alleged perpetrator. Neither of these elements was met.

4  
5 7. During the guilt phase the jury was misled into believing petitioner had  
6 attempted an escape and was erroneously allowed to use this as proof against petitioner,  
7 thereby unconstitutionally lightening the state's burden of proof.

8  
9 8. During the guilt phase that were permitted to consider the purported  
10 plan as akin to flight immediately after the crime, when in fact, it was not flight and also  
11 lacked temporal proximity to the crime. The evidence and inferences to be drawn were  
12 speculative and unreliable. Petitioner's guilt verdicts must be set aside.

13  
14 9. During the penalty phase, the jury was impermissibly allowed to consider  
15 the plan as an aggravating factor under California Penal Code section 190.3(b) (the  
16 presence or absence of criminal activity by the defendant which involved the use or  
17 attempted use of force or violence or the express or implied threat to use force or  
18 violence). Only evidence relevant to a statutory aggravating factor is admissible in  
19 California. To fall within this aggravating factor the evidence must constitute a violation of  
20 the California Penal Code. State law gave petitioner a vested liberty interest, protected by  
21 the due process clause of the Fourteenth Amendment, in having this mandatory state law  
22 enforced. It was violated by the admission of the purported escape plan, by the instruction  
23 labelling the plan an attempted escape and by the instruction informing the jury that it  
24 shall consider all of the evidence received in any part of trial in determining whether any  
25 of the factors, including factor (b) set forth in Cal. Penal Code §190.3 were present. The  
26 instructions were impermissibly vague in that the jury was not provided with any definition  
27 of an "attempt" or attempted escape.  
28

10. These instructions and the admission of this evidence extinguished a mitigating factor and wrongly provided evidence of an aggravating factor. Thus, the jury considered an improper aggravating factor. The mandatory weighing formula rendered California a "weighing state" and there was no attempt by the California Supreme Court to assess the harm on appeal.

**11. Petitioner's sentence must therefore be set aside.**

**O.**

**[Mens Rea/Special Circumstances]**

Petitioner's death sentence and the special circumstance were obtained in violation of petitioner's Sixth, Eighth, and Fourteenth Amendment rights to due process, to a jury determination of proof beyond a reasonable doubt, to his vested state entitlement to a jury trial, to the effective assistance of counsel, to notice of the charges against him, to present a defense, to a reliable and accurate death-eligibility determination, to be free of cruel or unusual punishment, to death eligibility criteria that were not void for vagueness, to equal protection of the laws, and to be free of the ex post facto effect of laws which enlarge criminal liability in that the trial court failed to adequately instruct the jury that petitioner had to specifically intend to kill the victim before the special circumstance could be found to be true.

**The facts which support this claim are:**

1. Petitioner was tried under death penalty legislation which became effective in November, 1978. A portion of that statute, California Penal Code section 190.2, set forth the "special circumstances" which, under state law, made petitioner eligible for the death penalty. The relevant portion of Penal Code section 190.2 did not change between 1978 and 1987.

2. Under Cal. Pen. Code § 190.2, the jury had to find beyond a reasonable

1 doubt that petitioner intended to kill the victim, whether or not he was the actual killer or  
2 an aider and abettor to the charged crimes. That the statute included such a mens rea  
3 requirement and that the drafters intended such a requirement to exist was made clear by  
4 the California Supreme Court in Carlos v. Superior Court, 35 Cal.3d 131, 197 Cal. Rptr 79  
5 (1983), while petitioner's case was pending on automatic appeal and not final.  
6

7 3. Although the mens rea requirement was included in the statute, at the  
8 time of petitioner's trial in 1981, the statute was vague, ambiguous, and provided no notice  
9 to petitioner or his counsel or the jury that intent to kill was a crucial element of special  
10 circumstances liability. As a result, trial counsel was not on notice and did not consider a  
11 mens rea defense to the special circumstance allegations.  
12

13 4. The trial judge did not inform the jury of this intent element and they  
14 were not so instructed. The jury did not know of this requirement and was not directed to  
15 make such a finding unanimously and beyond a reasonable doubt. The jury did not make  
16 any such finding and, because of the confluence of instructions in this particular case, there  
17 is no way to conclude that such a finding was made beyond a reasonable doubt by the jury  
18 in another context.  
19

20 5. Rather, the court instructed the jury that in order to find the special  
21 circumstance of robbery true it must find that the murder was committed while petitioner  
22 was engaged in the commission or attempted commission of a robbery or that petitioner  
23 had been convicted of more than one murder. It also instructed the jury that in order to  
24 find the multiple murder special circumstance true, it must be proved that petitioner has  
25 been convicted of more than one offense of murder in the first or second degree.  
26

27 6. In 1987, several years after the crime and trial in this case, the California  
28 Supreme Court enlarged a potential capital defendant's criminal liability by rendering him



1 eligible for the death penalty if he was the actual killer regardless of whether he harbored  
2 an intent to kill or any mens rea. People v. Anderson, 43 Cal.3d 1104 (1987). Anderson  
3 was applied to petitioner's case. Moreover, no court has determined that petitioner acted  
4 with the requisite mental culpability to support a death sentence under Enmund v. Florida  
5 458 U.S. 782 (1982) and Tison v. Arizona 481 U.S. 137 (1987).  
6

7 7. The prong of Anderson relating to the criminal liability of actual killers  
8 cannot be applied to petitioner's case without violating due process. Such a retrospective  
9 application of a law which enlarges criminal liability violates the due process proscription  
10 against ex post facto application of judicial decisions.  
11

12 8. The error was prejudicial because an element of criminal liability under  
13 state law was wholly removed from the jury's consideration and was never found beyond a  
14 reasonable doubt by the fact finder. Petitioner was deprived of his vested mandatory state  
15 right to a jury trial (a right protected by the due process clause of the Federal  
16 Constitution) and his federal constitutional right to a jury determination of every element  
17 by proof beyond a reasonable doubt.  
18

19 9. Application of Anderson to petitioner's case violates the equal protection  
20 clause by depriving him of rights afforded to defendants who were similarly situated with  
21 respect to the purpose of the law. It further violates the due process and eighth amend-  
22 ment proscriptions against arbitrary and capricious enforcement of the laws. Between the  
23 court's decisions in Carlos and Anderson, the court heard a number of cases in which  
24 decisions were issued setting aside the special circumstances under Carlos. Many of these  
25 cases involved defendants whose crimes occurred before, after, or during the same time  
26 period as those of which petitioner stands convicted. They are similarly situated to  
27 petitioner with respect to the law. They received relief while he did not, based solely on  
28

1 the fortuity of the speed with which their cases were decided in contrast to petitioner's  
2 case. Petitioner's case was argued on January 12, 1984 and then reargued on November 6,  
3 1985, May 12, 1986 and June 11, 1987. The state's interest in affording the other  
4 defendants relief while denying it to appellant is nonexistent.

5  
6 **P.**

7 **[Unconstitutional Jury Composition]**

8 Petitioner's conviction, sentence, and confinement are unlawful and violate the  
9 Sixth, Eighth, and Fourteenth Amendments of the United States Constitution (and their  
10 state constitutional analogues) in that petitioner was deprived of a fair trial, his right to a  
11 jury drawn from a representative cross-section of the community, equal protection and due  
12 process of law, as a result of the method by which the jury was drawn in his case, which  
13 has been the basis for relief in the cases of other similarly situated defendants. In  
14 addition, petitioner was deprived of his constitutional right under the Sixth Amendment to  
15 the effective assistance of counsel by counsel's failure to raise the matter earlier.

16  
17 The facts, among others to be presented after discovery, access to this Court's  
18 subpoena power, and the funds necessary to employ appropriate demographic and  
19 statistical experts, in support of this claim are:

20 1. Petitioner, an African-American male charged at trial with killing three  
21 Asian-American victims and one Caucasian victim, was tried in the Superior Court for the  
22 Southwest Judicial District, located in the City of Torrance.

23  
24 2. According to the then most recent (1980) census figures, African-  
25 Americans made up over 14.3% of the adult (over eighteen years old) population in the  
26 Southwest Judicial District, approximately 23% of the adult population within a twenty-  
27 mile radius of the courthouse in Torrance, and 11.4% of the adult population in Los  
28 Angeles County.

1           3. These percentages increase if the eligible population is adjusted to  
2 eliminate non-citizens and persons with an insufficient command of the English language  
3 to sit as jurors. This is so because the African-American population did not include a  
4 substantial number of non-citizens or people with insufficient command of English. Taking  
5 this variable into account increases the proportion of African-Americans and Caucasians in  
6 the jury-eligible population.  
7

8           4. At the time of petitioner's trial, Los Angeles County obtained potential  
9 jurors solely by utilizing the voter registration rolls. Shortly after petitioner's trial began,  
10 the county began using multiple source lists and began to draw jurors from a combined list  
11 of registered voters and lists maintained by the Department of Motor Vehicles.  
12

13           5. Petitioner's jury was drawn only from voter registration lists.

14           6. At the time of petitioner's trial, the jury commissioner's office assigned  
15 jurors to a particular courtroom by taking a list of courts that required jurors and  
16 proceeding down the list, filling the particular court's requirements by assigning jurors who  
17 lived within a twenty-mile radius of the court to that court.  
18

19           7. Petitioner incorporates the facts set forth in the records and opinions that  
20 were before the California Supreme Court in People v. Harris, 36 Cal.3d 36 (1984) [Long  
21 Beach judicial district]; People v. Myers, 43 Cal.3d 250 (1987) [Pomona], the proceedings  
22 in In re Duncan, S016908 [Southwest Judicial District]; and the opinion in In re Rhymes  
23 170 Cal.App.3d 1100 (1985) [Pomona] as if fully set forth herein.<sup>7</sup>  
24

25           8. The manner in which petitioner's jury was selected was unconstitutional  
26

---

27       <sup>7</sup> Because petitioner has to date lacked subpoena power and other discovery tools, and  
28 because he lacks the necessary funds to prepare analogous in-depth studies, taking judicial  
notice of these facts and records for purposes of pleading his cause of action at this stage  
in the proceedings is appropriate.

1 and resulted in the denial of his right to a jury drawn from a representative cross-section  
2 of the community. The constitutional defect in the Los Angeles jury selection process  
3 identified herein was well-known and the subject of litigation at the time of petitioner's  
4 trial. Denial of relief afforded to other similarly situated capital and non-capital  
5 defendants will violate the Eighth Amendment and petitioner's right to the equal  
6 protection of the laws.  
7

8 9. The questionable constitutionality of the manner in which juries were  
9 drawn in Los Angeles County was well-known at the time of petitioner's trial and the  
10 system was already under attack in a number of criminal cases including Harris, Myers and  
11 Rhymes.  
12

13 Q.

14 [Mitigating Sentencing Evidence]

15 Petitioner's conviction, sentence, and confinement are unlawful and violate the  
16 Fifth, Sixth, Eighteenth, and Fourteenth Amendments because petitioner's rights to a fair  
17 trial, effective assistance of counsel, a reliable penalty verdict, and due process were  
18 violated in that the jury was precluded from hearing substantial mitigating evidence,  
19 relevant to factors (a), (d), (h), and (k) of California Penal Code section 190.3 as a result  
20 of counsel's failure to investigate and present lay and expert mitigating evidence of  
21 petitioner's familial, cultural and community background, the environment in which  
22 petitioner was raised, his mental vulnerabilities, his drug abuse and its causes, and  
23 psychiatric history. This failure was prejudicial as the evidence would have given the jury  
24 an otherwise unknown context in which to assess petitioner's moral culpability and would  
25 have led to a verdict of life imprisonment instead of death. In addition, presentation of  
26 the evidence set forth below would have mitigated the effect of the escape and threats  
27 evidence admitted during the guilt phase that the prosecutor used in aggravation in arguing  
28

1 to the jury that petitioner should be sentenced to death. Counsel's failure to mitigate the  
2 aggravating evidence was similarly prejudicial.

3 Alternatively, if trial counsel was not ineffective in failing to investigate and present  
4 this evidence, petitioner is nonetheless entitled to habeas corpus relief because his death  
5 verdict is unreliable; this evidence so clearly changes the balance of aggravation and  
6 mitigation that its presentation would have altered the verdict and it qualifies as newly  
7 discovered evidence.  
8

9 Evidence of petitioner's cultural, environmental, and family background, and his  
10 serious, documented mental impairments and disabilities was prejudicially withheld from  
11 the jury and requires that the death verdict be set aside.  
12

13 The facts, among others, to be presented after discovery and access to the Court's  
14 subpoena power, supporting this claim are:

15 Family Background and History

16 1. Petitioner hereby incorporates those facts set forth in paragraphs A and  
17 D, ante. In addition, petitioner hereby relies upon the exhibits filed in In re Stanley  
18 Williams, California Supreme Court No. S011868, and In re Stanley Williams, California  
19 Supreme Court No. S039285. Together the facts set out therein provide powerful  
20 mitigating evidence of petitioner's family and background which was readily available and  
21 should have been presented to the jury.  
22

23 2. Petitioner's mother, Ceola Williams, was the fourteenth of sixteen  
24 children born to Eunice Pierce Lee and Charles Lee. Mrs. Williams was born in 1934 and  
25 raised in Louisiana. Petitioner's grandparents – Mrs. Williams' parents – provided shelter  
26 for their children, but not much else: Mrs. Williams and her siblings never received  
27 parental guidance, love, or support from either of their parents. In fact, neither Charles  
28

1 Lee who was a workaholic and rarely home, nor Eunice Lee, who only left the house to go  
2 to church and was constantly pregnant and sickly, ever showed any interest in their  
3 children.

4  
5 3. The Lee family was not close and family members showed no concern for  
6 the welfare of other family members. One of petitioner's aunts remembers that she  
7 learned at a very young age that the Lee children were forced to fend for themselves,  
8 because it was every person for her or himself in that family.

9  
10 4. Petitioner's family history suggests he was genetically vulnerable to an  
11 underlying mental disorder. Several of petitioner's aunts exhibited symptoms of mental  
12 illness. They all tended to be nervous, paranoid, suspicious, and generally had trouble  
13 coping with everyday life. His mother and six of her sisters all suffered from what other  
14 family members call a "nervous condition," and they have all been on "nerve medication" at  
15 some point in their lives. For many years during her adult life, petitioner's mother took  
16 drugs such as stellazine, valium, meprobamate, and lithium to control her severe anxiety  
17 and depression.

18  
19 5. Three of petitioner's maternal aunts were hospitalized due to psychiatric  
20 illnesses. Gertrude has been hospitalized many times. She rarely left her house, and she  
21 often sat in a darkened, bare house by herself. Petitioner's aunt Dorothy has been  
22 mentally ill for a long time. Petitioner's aunt Martha was hospitalized after having suffered  
23 a nervous breakdown. She was diabetic and died in the 1960s.

24  
25 6. Petitioner's mother, Ceola Williams, was known in her family as extremely  
26 withdrawn and isolated, both as a child and as an adult. Even in the Lee family -- a family  
27 in which people kept to themselves and did not bother with other family members --  
28 petitioner's mother was recognized as quiet, paranoid, secretive, and withdrawn.

1 Petitioner's father was one of the few people with whom petitioner's mother associated.

2 7. Petitioner's father, Stanley Williams, Jr., was born to Ellanese Trosclair  
3 and Stanley Williams in 1933, the same year the couple married. Ellanese and Stanley  
4 never lived together, although they were not divorced until approximately fifty years later  
5 when, shortly before Stanley Williams's death, Ellanese obtained a divorce. Stanley  
6 Williams severed his relationship with Ellanese shortly after Stanley Jr. was born. Ellanese  
7 Williams "snapped" after that, and remained "troubled" until she died in 1990.

8 8. Due to her mental instability, Ellanese Williams was unable to care for  
9 her son, Stanley Jr., and never did. With the exception of a brief stay with his mother,  
10 Stanley Jr., was raised by two paternal cousins. In 1939, Ellanese Williams pled guilty to  
11 unlawfully and willfully shooting a man with the intent to kill. As a result of this  
12 conviction, she served a year in the parish prison. State v. Williams, Parish of New  
13 Orleans, Criminal Dist. Ct. #94833.

14 9. Petitioner's paternal grandfather, Stanley Williams, Sr., was a jazz  
15 musician who played in a number of jazz clubs. Petitioner's grandfather left New Orleans  
16 for Chicago in the 1950s where he continued working as a jazz musician. Even when he  
17 lived in New Orleans petitioner's grandfather rarely saw his son, establishing a pattern that  
18 Stanley Jr. later emulated with petitioner.

19 10. Petitioner's parents met while both attended segregated L.B. Landry High  
20 School in Algiers, Louisiana. Petitioner's mother was forced to give up her dream of parti-  
21 cipating in track and attending college after she became pregnant with petitioner during  
22 her senior year in high school.

23 11. Petitioner's parents were married shortly after Ceola Williams graduated  
24 from high school, and five months prior to petitioner's birth on December 29, 1953. They  
25

1 never lived together except for a brief period while Stanley Jr. was stationed at Castle Air  
2 Force Base in Merced, California. In fact one of the women who raised Stanley Jr. was  
3 unaware of his marriage to petitioner's mother until well after the fact when Mrs. Williams  
4 subpoenaed Stanley Jr. to court for child support. To this day she is unaware Stanley Jr.  
5 has two children by Ceola.  
6

7 12. In 1954, Stanley Jr. started college at Southern University in Baton  
8 Rouge, dropped out of college after only a few months, and joined the Air Force in  
9 October of the same year.

10 13. When on leave from the service, petitioner's father and mother reunited  
11 long enough for Mrs. Williams to conceive petitioner's sister Cynthia. For a brief period in  
12 1956, petitioner and his mother lived with petitioner's father at Castle Air Force Base in  
13 Merced. During this brief stay, Ceola Williams became pregnant with petitioner's sister,  
14 Cynthia. Petitioner's mother ended their stay with petitioner's father and returned to New  
15 Orleans, because of Stanley Jr.'s abusive behavior.  
16

17 14. Throughout Ceola Williams' life in New Orleans and well into the late  
18 fifties and early sixties, Jim Crow laws were strictly adhered to in Louisiana, insuring that  
19 African-Americans in that state would face severe discrimination. African-Americans were  
20 forced to attend economically impoverished and segregated schools. The schools available  
21 to African-Americans did not adequately prepare their students for higher educational  
22 opportunities, and often graduated students who were unable to read and write.  
23

24 15. Because of the oppressive racial climate in New Orleans, petitioner's  
25 mother left Louisiana for California in 1959, when petitioner was five years old. Mrs.  
26 Williams wanted to leave petitioner with her mother. She was forced to abandon this plan  
27 and instead left her daughter Cynthia behind because, although petitioner and his grand-  
28



1 mother were extremely close throughout his life, he was too energetic for his grandmother  
2 to be his caretaker. Petitioner's grandmother joined Mrs. Williams in Los Angeles a few  
3 years later.

4  
5 16. Petitioner suffered a number of traumatic injuries to his brain as an  
6 infant, child, and young teen. When he was a year and a half old he had a seizure and was  
7 rushed to the emergency room of a hospital where a spinal tap was performed. He  
8 suffered approximately four childhood and early teenage head injuries, at least one of  
9 which involved a loss of consciousness.

10  
11 17. As a result of his hyperactivity, petitioner was referred by his school to  
12 the county general hospital for evaluation. No follow-up apparently occurred and despite  
13 near-perfect attendance at the grammar schools in which he was enrolled, petitioner's  
14 performance began to lag slightly behind his grade level and chronological age.

15  
16 18. As a child and well into his early teen-age years, petitioner was repeatedly  
17 described by neighbors, friends of petitioner family and church personnel as quiet, polite,  
18 respectful, well-mannered and well-liked. He was a good athlete, talented in art and a  
19 satisfactory student until he was in high school. Petitioner was beloved by children, had a  
20 knack for working with them, and frequently babysat. He was perceived by church  
21 personnel and members of the church as someone who could be a productive and  
22 responsible prisoner if allowed to live. His home life had the appearance of a happy  
23 normal one. However, Stanley's friends and relatives recognized that petitioner's mother  
24 and his younger sister Cynthia paid little attention to him. His sister treated him with  
25 undisguised contempt. Nonetheless, petitioner remained devoted to his mother and very  
26 proud of his sister.

27  
28 19. Petitioner's biological father did not wish to have anything to do with

1 petitioner. Despite the fact that petitioner often wondered about his father and wanted  
2 contact with him, his father, who lived in Oakland, maintained no contact with him. When  
3 petitioner was approximately sixteen years old, his mother took him to Oakland to stay  
4 with his father. After one dinner in his father's home with his father, his father's wife and  
5 two children, and a couple of days at most at a motel, his father dispatched him back to  
6 Los Angeles.  
7

8           20. In junior high school, again pursuant to a referral, petitioner's mother  
9 sought mental health help for him. She sent him to a mental health clinic in the  
10 neighborhood, where he attended a number of therapy sessions. A friend, who rode his  
11 bicycle with petitioner to the sessions, recalled that petitioner needed more than sporadic  
12 out-patient treatment. Petitioner's mother believed that something was wrong with  
13 petitioner.  
14

15           21. In mid-to-late junior high school, petitioner began inhaling immense  
16 quantities of highly toxic compounds such as well-wood contact cement, a spot remover  
17 known as "kryptonite," and a product called "dip 'n' grip." These substances possess both  
18 hallucinogenic and disinhibiting properties. During the period petitioner sniffed glue, he  
19 often talked to himself and reported visual and auditory hallucinations. He inhaled  
20 enormous quantities of these toxic substances.  
21

22           22. The inhalants petitioner used cause permanent brain damage and  
23 functional impairment, including damage to the myelin sheath which covers the brain and  
24 which is responsible for transmission of messages in the brain. Petitioner eventually  
25 stopped his inhalant use because he began to lose pigment all over his body. One friend  
26 recalled petitioner hallucinating as a result of the glue sniffing and other drug abuse and  
27 another recalled that petitioner acted only slightly more normal than a mentally ill street  
28

1 person when he inhaled these substances. At times, however, petitioner's behavior was so  
2 erratic that one friend could not tell whether his behavior was due to the glue-sniffing or  
3 mental health problems.

4  
5 23. In his late teens, while confined briefly in a Los Angeles juvenile camp  
6 and later in Factor Brookins, in Banning, California, petitioner began to lift weights.  
7 Weightlifting became an obsession lasting until shortly before his arrest in 1979. His  
8 obsession led him to the use of amphetamines, LSD, and steroids to allow him to lift for  
9 longer periods of time and become the most muscular person in the community so that he  
10 would be protected.

11  
12 24. His increasing size was partially responsible for the severe, chronic  
13 harassment he suffered at the hands of law enforcement during the 1970s. In addition,  
14 while at Factor Brookins, petitioner and other wards at Factor Brookins were the subject  
15 of a news article designed to enhance the reputation of the camp's director at the wards'  
16 expense. A false portrait of petitioner as a leader and hard core troublemaker emerged.

17  
18 25. In fact petitioner's actual personality, demeanor, and stature departed  
19 radically from his weightlifter's body, the portrait drawn by the news article and the street  
20 rumors. Petitioner had tremendous difficulty functioning in an adult world, was dependant  
21 on friends, and was neither a leader nor fighter.

22  
23 26. Petitioner was known to avoid confrontations of all kinds. Petitioner's  
24 friends acted as a buffer between him and the outside world by dealing with authority  
25 figures for him. Petitioner avoided physical confrontations, to the point of backing down  
26 from fights when challenged.

27  
28 27. In 1974 petitioner began working at the Martin-Shaw Center Home for  
Boys in Compton, which operated group boys' homes at three locations in Compton. He

1 was well loved and respected by the wards and others. Petitioner was known for his ability  
2 to work with children, and he used weight lifting as a means to bring together kids from  
3 rival gangs. The boys' home not only furnished petitioner a job at which he could feel  
4 successful, it also gave him a place he considered home.  
5

6 28. In 1975, petitioner suffered a series of reverses and setbacks which began  
7 a several year downward spiral, culminating in his arrest in March, 1979. In October, 1975,  
8 petitioner was shot in both legs at night as he sat on the porch of one of the boys' homes.  
9 He was hospitalized for several days, put on out patient status for several months, and had  
10 a long, difficult recuperation period during which he often hid out at a number of different  
11 friends' homes. Early in 1976, petitioner's beloved maternal grandmother died, leaving  
12 petitioner to grieve deeply for his loss. Shortly after petitioner recuperated from the  
13 gunshot wounds, the Martin-Shaw Center had closed and petitioner had difficulty finding  
14 another job. The job which had given him not only a feeling of worth and self-esteem, but  
15 also a home was gone.  
16

17 29. The trauma of his brush with death and continued threats from  
18 contemporaries, the perception of constant harassment by law enforcement and the  
19 personal losses suffered by petitioner plunged him into a long period of depression  
20 punctuated by manic episodes. It also increased his drug abuse, causing him to use  
21 tremendous quantities of phencyclidine (PCP) for the first time and to continue his use of  
22 windowpane acid. He was smoking up to three and four PCP-laced cigarettes at a time by  
23 February and March, 1979.  
24

25 30. Petitioner's behavior became increasingly erratic and bizarre, both while  
26 intoxicated and without the ingestion of drugs. He believed people were out to get him,  
27 was found "swimming" naked in a pile of dirt in an alley, leaped out of a car on the  
28

1 freeway and ran along side of it until he could be coaxed back inside, stripped off all of his  
2 clothes and ran naked in the street, lifted up the front end of a car, ran down the street  
3 clutching his neck and shouting that he was unable to breathe, laid down in the middle of  
4 the street for no apparent reason, and abruptly started spinning around and then dropped  
5 to the ground and curled up in a fetal position, crying "No. No. Go away. Don't hurt me."  
6 He had no recollection of these incidents after they happened. He became panicky and  
7 paranoid that his body was shrinking. Even in the safety of the garage where he lived and  
8 worked out, he kept a gun by his side at all times.

10 31. Petitioner's behavior and moods swung from paranoia, agitation, and  
11 irritability to softness, vulnerability and fear. He was child-like and impulsive. His  
12 behavior was not only overtly manic or even psychotic at times, but he displayed many of  
13 the vegetative signs of depression in the weeks and months prior to his arrest. By the time  
14 of his arrest his behavior had become so psychotic and unpredictable that many of his  
15 closest friends and daily companions could not spend consistent or prolonged periods of  
16 time with him. They were grateful when he was arrested because they thought he would  
17 finally receive the sustained psychiatric care and/or drug detoxification they believed he  
18 needed.

21 32. Petitioner's mental state remained poor in the jail. Many of his friends  
22 found him to be dazed, unable to sustain a conversation, unable to recognize them at  
23 times, and unable to grasp the seriousness of the situation. After petitioner's friend  
24 Rossalyn Blanson met George Oglesby and heard that petitioner wanted to be involved in  
25 his plan, she was astonished. She perceived the plan to be very strange and George  
26 Oglesby to be so unlike anyone petitioner would associate with, that she viewed the  
27 association as an indication that petitioner was out of touch with reality. Although  
28

1 Oglesby tried to get Rossalyn to meet with his girlfriend -- apparently in an attempt to  
2 surreptitiously tape record her -- she never had any intention of doing so.

3 33. Petitioner's mental condition was caused not only or even primarily by his  
4 drug abuse. Rather, petitioner suffered from a serious mood disorder, most likely an  
5 organic affective (bipolar) disorder. The symptoms described by petitioner's friends,  
6 family, and acquaintances as well as his family history are consistent with such a diagnosis.

7 34. In addition, petitioner suffered from mild generalized brain dysfunction,  
8 with substantially more serious damage and neurocognitive deficits in the functioning of  
9 the right parietal and temporal lobes and the frontal lobes of the brain. These areas of the  
10 brain control the ability to accurately perceive stimuli and factors in the environment,  
11 accurately process non-verbal social and emotional cues, plan, organize, reflect, deliberate,  
12 carry out a preconceived design, and act reflectively rather than impulsively.

13 35. All of the foregoing information was readily available to trial counsel at  
14 the time of petitioner's trial and is based on interview and evaluation techniques that were  
15 recognized as appropriate in the legal, neuropsychological, and psychiatric professional  
16 communities at that time as well. Such evidence would have been presented by trial  
17 counsel had he been aware of it.

18 36. The failure to present this mitigation evidence at the penalty phase  
19 deprived the jury of crucial evidence regarding petitioner and circumstances extenuating  
20 the crime.

21 37. Trial counsel failed to call a single witness at trial in the penalty phase.  
22 At the start of the penalty phase he still had not investigated available mitigating evidence.

23 38. The potential mitigating evidence was not cumulative; no other testimony  
24 was similar in kind to that which counsel unreasonably failed to investigate. The jury was

1 left with a grossly incomplete and wholly misleading view of petitioner. The jury was  
2 instructed to make its penalty decision after weighing the aggravating evidence against the  
3 mitigating evidence, but was missing virtually all of the mitigating evidence.

4  
5 39. Had the information set forth above and in the accompanying  
6 declarations and documents been provided to the jury, it would not have sentenced  
7 petitioner to death.

8 R.

9 [Purported Waiver of Mitigation]

10 Petitioner's sentence and confinement were unconstitutionally obtained in violation  
11 of his Fifth, Sixth, Eighth and Fourteenth Amendment rights to a fair and reliable penalty  
12 determination by the factfinder, to the presentation of mitigating evidence which would  
13 have informed the jury of petitioner's frailties, to a sentence determination that is not  
14 based on misinformation, to an individualized consideration of the nature of the offense  
15 and the offender, to the effective assistance of counsel, and to due process, in that the trial  
16 court and trial counsel accepted petitioner's purported waiver of mitigation, which was  
17 invalid because it was not knowingly, intelligently, and voluntarily entered; accepted  
18 petitioner's purported waiver without ascertaining the scope of that waiver; and violated  
19 the state's overriding independent interest, protected by the due process clause of the  
20 federal constitution, that the jury not be precluded from hearing relevant mitigating  
21 evidence, that any death sentence imposed be accurate and reliable, and that rights which  
22 exist for the benefit of the People of the State of California may not be waived.

23  
24  
25 The following facts, among others to be presented after adequate funding, discovery  
26 and an evidentiary hearing are:

27 1. Those facts set forth in paragraphs A, D, and Q concerning petitioner's  
28 family and personal background neurocognitive deficits, psychiatric disabilities, drug abuse

1 history and competence to stand trial are incorporated herein by this reference.

2           2. Those facts set forth in paragraph Q concerning the readily available  
3 evidence that could and would have been presented by reasonably competent counsel  
4 acting as a zealous advocate had the trial court and counsel not misperceived the  
5 purported waiver and had they fulfilled their constitutional duties concerning the  
6 presentation of mitigating evidence are incorporated by this reference.  
7

8           3. Trial counsel presented no mitigating evidence. He represented to the  
9 court that petitioner did not wish to have mitigating evidence produced. Upon inquiry by  
10 the trial court, regarding whether petitioner himself wished to testify, petitioner said no.  
11 He was not otherwise questioned by the trial court.  
12

13           4. In fact, petitioner misconceived the scope and nature of relevant,  
14 available mitigating evidence and believed that such evidence would consist of the testi-  
15 mony of his mother and stepfather whom he did not want to put through the ordeal of  
16 testifying. This was his only directive to counsel. He was otherwise a passive defendant  
17 who did not participate in strategy discussions and offered no useful suggestions on legal  
18 matters such as witnesses, evidence or defenses. Other than his unwillingness to testify or  
19 have his mother or stepfather testify, he put no restrictions on counsel.  
20

21           5. Counsel did not investigate, and therefore was unaware of the wealth of  
22 cultural, familial, mental health, and drug addiction history available as mitigating evidence  
23 and therefore could not have attempted to explain to petitioner the full range of available  
24 mitigating evidence. Petitioner's purported "waiver" was not only severely limited to two  
25 witnesses, but it was unknowingly and unintelligently entered.  
26

27           6. In addition, petitioner's brain dysfunction and psychiatric presentation  
28 would have made and did make any waiver invalid as involuntary, unknowing, and



1 unintelligent. He was mentally incompetent at the time of trial and the penalty phase.  
2 Had counsel investigated petitioner's background, and hired and utilized competent mental  
3 health professionals, he would have known that petitioner's state of mind at the time was  
4 such that his purported waiver was constitutionally invalid.  
5

6 S.  
7 [Multiple Murder Special Circumstances]

8 Petitioner's right to due process of law, guaranteed by the Sixth and Fourteenth  
9 Amendments to the United States Constitution, see, e.g., Hicks v. Oklahoma, 447 U.S. 343  
10 (1980), and his right to an accurate reliable determination of death, guaranteed by the  
11 Eighth and Fourteenth Amendments, were violated in the penalty determination at  
12 petitioner's trial, because the sentencing jury was improperly authorized to consider the  
13 four multiple-murder special circumstances as four factors in aggravation of punishment.  
14 The artificial increase in aggravating factors impermissibly inflated the risk that the jury  
15 would impose a sentence of death, also violating the Eighth and Fourteenth Amendments.  
16 The following facts, among others to be presented after full investigation and discovery,  
17 support this claim.  
18

19 1. The information filed against petitioner charged four multiple-murder  
20 special circumstances in connection with the murder counts. Cal. Pen. Code, 190.2, subd.  
21 (a)(3); see People v. Williams, 44 Cal.3d 1127, 1133 (1988). At the conclusion of the guilt  
22 phase of petitioner's trial, the jury returned verdicts of "true" as to each of these four  
23 special circumstance allegations.  
24

25 2. It is error for a prosecutor to charge four multiple murder special  
26 circumstances in a capital case, and it is also error for a jury in a capital case to return  
27 four multiple murder special circumstances or to consider four multiple murder special  
28 circumstances as four aggravating factors in its penalty deliberations. People v. Harris, 36

1 Cal.3d 36, 67 (1984); People v. Allen 42 Cal.3d 1222, 1273 (1986). The prosecutor should  
2 charge, and the jury should determine the truth of, only one special circumstance in this  
3 situation.

4  
5 3. At the penalty phase of petitioner's trial, the jury was instructed that "you  
6 shall consider, take into account and be guided by the applicable factors of aggravating and  
7 mitigating circumstances upon which you have been instructed. If you conclude that the  
8 aggravating circumstances outweigh the mitigating circumstances, you shall impose a  
9 sentence of death." CT 562. (Emphasis Added).

10  
11 4. The language in subparagraph 3 concerning the "factors of aggravating  
12 and mitigating circumstances upon which you have been instructed" was a reference to, and  
13 was understood by the jury as referring to, the immediately preceding penalty-phase jury  
14 instruction, which enumerated eleven aggravating and mitigating circumstances to be  
15 considered, if applicable. CT 559. The first enumerated factor -- factor (a) -- covered the  
16 circumstances of the crime of which petitioner had been convicted and also "the existence  
17 of any special circumstances found to be true." The second enumerated factor -- factor (b)  
18 -- covered "[t]he presence or absence of criminal activity by the defendant which involved  
19 the use or attempted use of force or violence or the expressed or implied threat to use  
20 force or violence."  
21

22 5. In his argument to the jury in support of a death sentence, the prosecutor  
23 specifically focused the jury's attention on factor (a), explaining that, "As you know, we  
24 have four murders so found by your verdict, and we have four sets of special circum-  
25 stances. You will make individual findings as to each murder and as to the special  
26 circumstances associated with that murder." RT 3023. The prosecutor stated shortly  
27 thereafter that if "we look at nothing else . . . in itself, anyone [sic] of those murders,  
28

1 anyone of those special circumstances found to be true, is appropriate to impose the  
2 penalty of death." Ibid.

3 6. The prosecutor's argument also focused the jury's attention on aggravating  
4 factor (b), covering "[t]he presence or absence of criminal activity by the defendant which  
5 involved the use or attempted use of force or violence or for [sic] the express or implied  
6 threat to use force or violence." RT 3031. The prosecutor indicated that the existence of  
7 this aggravating factor was established by the "evidence of the defendant's use of force and  
8 violence" in the crimes of which petitioner had been convicted at the guilt phase. Ibid.

9  
10 7. The prosecutor subsequently told the jury that the mitigating factors were  
11 far outweighed by "all of the aggravating factors." RT 3044.

12  
13 T.

14 [Mandatory Weighing Instruction]

15 The jury instructions and prosecutor's argument deprived petitioner of his Fifth,  
16 Sixth, Eighth and Fourteenth Amendment rights to a fair, reliable, and individualized  
17 penalty decision because the jury was instructed and the prosecutor argued that if the jury  
18 found that the aggravating factors outweighed the mitigating factors it "shall" impose death.

19 The following facts, among others to be presented after full investigation and  
20 discovery support this claim:

21 1. At trial, petitioner's jury was instructed to consider eleven enumerated  
22 sentencing circumstances. The jury was further instructed: "If you conclude that the  
23 aggravating circumstances outweigh the mitigating circumstances, you shall impose a  
24 sentence of death." CT 562. [Emphasis added].

25  
26 2. One of these sentencing circumstances listed the "circumstances of the  
27 crime" and the "existence of any special circumstances" as considerations in aggravation.

28 3. During the prosecutor's summation at penalty phase, he argued to the

1 jurors that they were required to impose a death sentence once they determined that  
2 aggravation outweighed mitigation. RT 3018-3019. He also argued the existence of any  
3 one of the special circumstances made death proper. RT 3031. Further, other  
4 prosecutorial remarks exacerbated the unconstitutional elements of the process expressed  
5 in the instruction. RT 3023-3044.  
6

7 4. The instructions did not require, and the jury never made a finding that  
8 death was the appropriate penalty under all the circumstances of this case.

9 5. In a case in which no affirmative mitigating evidence was presented, the  
10 instructions reasonably could have made a death sentence appear mandatory to one or  
11 more jurors.  
12

13 6. The instructions and argument violated the petitioner's right to due  
14 process and fundamental fairness guaranteed by the Fifth and Fourteenth Amendments.  
15 As a reasonable juror could have understood them, the instructions and argument also  
16 violated the Sixth, Eighth and Fourteenth Amendments in the following ways:

17 a. By presuming that death is the appropriate sentence when aggravation  
18 is found to outweigh mitigation;  
19

20 b. By vitiating the requirement of individualized sentencing in a capital  
21 trial;

22 c. By failing to inform the jury that it still had the discretion to impose a  
23 life sentence regardless of the existence of aggravating evidence;

24 d. By restricting the weight and consideration given to mitigating  
25 evidence;  
26

27 e. By making the death sentence mandatory when aggravation outweighs  
28 mitigation;

1 f. By saddling the petitioner with automatic aggravation and a  
2 presumption of death

3 g. By skewing the jury in favor of death and not appropriately channeling  
4 jury sentencing discretion;  
5

6 h. By permitting death sentences to be determined in an arbitrary and  
7 capricious manner;

8 i. By removing from the jurors the sense of ultimate responsibility for  
9 determining whether death is the appropriate sentence for the defendant.

10 U.

11 **[Instruction Restricting Mitigation]**

12 The death penalty was unconstitutionally imposed because the sentencing jury was  
13 precluded from giving independent weight to mitigating aspects of petitioner's character  
14 and background in violation of petitioner's Eighth and Fourteenth Amendment rights. The  
15 following facts, among others to be presented after full investigation and discovery support  
16 this claim:  
17

18 1. Petitioner's jury was instructed to consider eleven specified factors, if  
19 applicable, in determining penalty. CT 559-562.

20 2. The jury was never instructed or otherwise informed by anyone at trial  
21 that it could impose a sentence less than death based on any mitigating circumstance or  
22 any aspect of petitioner's background called to the jury's attention by the evidence or its  
23 observation of the defendant.  
24

25 3. Instead, the jury was instructed that mitigation could be found only in  
26 "any other circumstances which extenuate the gravity of the crime, even though it is not an  
27 excuse for the crime." CT 561.  
28

4. The jury was also told, during the guilt phase, that it was "not [to] be

1 influenced by pity for a defendant" and must not . . . swayed by mere sympathy. CT 444.  
2 This admonishment was not countermanded in any way during the penalty phase. It was  
3 also told at the guilt phase to render a verdict regardless of the consequences. This was  
4 not countermanded in any way.  
5

6 5. A reasonable juror would have been prejudicially misled, and this jury  
7 was prejudicially misled to believe that it could return a verdict less than death only if the  
8 mitigating circumstances it perceived related to the crime, rather than the crime and the  
9 offender.  
10

11 **V.**

12 **[Undifferentiated List of Irrelevant Factors]**

13 Petitioner's death sentence was obtained in violation of his Eighth and Fourteenth  
14 Amendment rights to due process, to a sentence based on record evidence, to a jury whose  
15 discretion was adequately guided and who had notice of the relevant penalty factors, to a  
16 reliable and accurate penalty determination and to sentencing factors that were not vague  
17 in that the trial court's instructions permitted the jury to consider, and use as aggravating  
18 factors, a number of factors having nothing to do with petitioner or the crimes of which he  
19 stood convicted and failed to inform the jury whether factors were aggravating or  
20 mitigating. This resulted in a death verdict which was the product of an improper inflation  
21 of aggravating circumstances and of the jury's consideration of information which had  
22 nothing to do with the character and record of the individual offender or the circumstances  
23 of the particular case. The following facts, among others to be presented after full  
24 investigation and discovery support this claim:  
25

26 1. The trial court delivered CALJIC No. 8.84.1 to the jury in toto. That  
27 instruction read in pertinent part as follows: In determining which penalty is to be  
28 imposed on defendant, you shall consider all of the evidence which has been received

1 during any part of the trial of this case, [except as you may be hereafter instructed]. You  
2 shall consider, take into account and be guided by the following factors, if applicable:

3 a. The circumstances of the crime of which the defendant was convicted  
4 in the present proceeding and the existence of any special circumstances found to be true.  
5

6 b. The presence or absence of criminal activity by the defendant which  
7 involved the use or attempted use of force or violence or the expressed or implied threat  
8 to use force or violence.

9 c. The presence or absence of any prior felony conviction.

10 d. Whether or not the offense was committed while the defendant was  
11 under the influence of extreme mental or emotional disturbance.  
12

13 e. Whether or not the victim was a participant in the defendant's  
14 homicidal conduct or consented to the homicidal act.

15 f. Whether or not the offense was committed under circumstances which  
16 the defendant reasonably believed to be a moral justification or extenuation for his  
17 conduct.  
18

19 g. Whether or not the defendant acted under extreme duress or under  
20 the substantial domination of another person.

21 h. Whether or not at the time of the offense the capacity of the  
22 defendant to appreciate the criminality of his conduct or to conform his conduct to the  
23 requirements of law was impaired as a result of mental disease or defect or the affects of  
24 intoxication.

25 i. The age of the defendant at the time of the crime.

26 j. Whether or not the defendant was an accomplice to the offense and  
27 his participation in the commission of the offense was relatively minor.  
28

1 k. Any other circumstances which extenuates the gravity of the crime  
2 even though it is not a legal excuse for the crime.

3 (CT 559-561)

4 2. There was no evidence whatever to support, and petitioner did not rely  
5 on mitigating factors (d), (e), (f), (g), (i), and (j) in this case.

6 3. No instruction told the jury that the absence of evidence on any factor  
7 rendered the factor irrelevant and, in fact, the instructions, taken as a whole, allowed the  
8 jury to determine that these factors were aggravating ones if no mitigating evidence  
9 relevant to these factors was produced. The jury was told it "shall take into account and  
10 be guided by" the eleven factors "if applicable" but were given no guidance for how to  
11 determine whether a factor was applicable.

12 4. The inclusion of these inapplicable factors by the trial court in its  
13 instructions to the jury allowed the prosecutor to use these irrelevant considerations as the  
14 framework for his argument and for his implicit conclusion that the inapplicable factors as  
15 aggravation should be considered by the jury in deciding whether aggravating factors  
16 outweighed those in mitigation. RT 3023-3044.

17 5. The instructions did not designate the factors as aggravating or mitigating,  
18 thereby rendering them unconstitutionally vague and further allowing the jury to assign  
19 aggravating weight to factors designed to be mitigating.

20 6. The consideration by the jury of factors having nothing to do with the  
21 case and the likelihood that the irrelevant factors would be used as aggravating ones,  
22 prejudicially violated petitioner's right to a fair and reliable penalty determination and to  
23 an individualized consideration of the appropriate punishment for him, especially when  
24 combined with the unconstitutional instruction that if aggravating circumstances  
25



1 outweighed mitigating ones the jury had to return a death verdict.

2 W.

3 **[Unadjudicated Prior Criminality]**

4 Petitioner was deprived of his constitutional rights under the Fifth, Sixth, Eighth  
5 and Fourteenth Amendments because the prosecutor introduced and the jury considered as  
6 a factor in aggravation, evidence of alleged violent conduct by petitioner for which he was  
7 never charged.

8 The following facts, among others to be presented after full investigation and  
9 discovery, support this claim:  
10

11 1. At the guilt phase of petitioner's trial, the prosecution introduced  
12 evidence of petitioner's alleged involvement in a pretrial escape plan, and of an alleged  
13 threat by petitioner to kill an immunized prosecution witness. Petitioner was never  
14 charged with, nor convicted of any substantive offense on the basis of such alleged  
15 conduct.  
16

17 2. The prosecution presented the testimony of George Oglesby, a jailhouse  
18 informer, who described petitioner's formulation of various plans to escape while being  
19 transported to or from pretrial court appearances. As described by Oglesby, the plans  
20 included the participation of outside confederates to secure guns and dynamite in order to  
21 commandeer and blow up the prisoner transport bus. Oglesby also quoted petitioner as  
22 saying that a prosecution witness, Alfred Coward (also known as "Blackie"), was "a  
23 heartbeat away from death."  
24

25 3. The prosecution presented testimony by George Oglesby that petitioner  
26 had admitted being responsible for the murder and robbery of a large number of Asians  
27 other than the victims in the charged murders.  
28

4. The prosecution presented testimony regarding a charged but dismissed

1 allegation of kidnapping and robbery.

2 5. No additional evidence was introduced at the penalty phase. Instead, the  
3 jurors were instructed to consider all of the evidence introduced at the guilt phase in  
4 determining whether to impose a sentence of death. The jury was not admonished that it  
5 should disregard any evidence - even the evidence which related to the dismissed  
6 allegations. RT 559.

7  
8 6. The penalty phase instructions also required the jurors to consider the  
9 arguments of counsel and the entire list of sentencing factors contained in California Penal  
10 Code, section 190.3, including factor (b): "The presence or absence of criminal activity by  
11 the defendant which involved the use or attempted use of force or violence or the express  
12 or implied threat to use force or violence."

13  
14 7. The statute did not require, and the trial court did not instruct the jury  
15 on either the elements of any alleged violent criminal activity, or the standard of proof  
16 applicable to the jury's determination whether petitioner in fact had planned or engaged in  
17 a violent escape attempt, had threatened, attempted to kill or planned to kill a prosecution  
18 witness, or had engaged in any other violent criminal activity. It did not instruct the jury  
19 that it had to find beyond a reasonable doubt that the petitioner committed the prior  
20 criminality before using it against petitioner.

21  
22 8. The statute did not require, and the trial court did not instruct the jury  
23 that consideration of other violent crimes as factors in aggravation required a unanimous  
24 finding by the jury that petitioner had in fact committed such offenses.

25  
26 9. On the basis of the evidence submitted by the prosecution in support of  
27 the alleged escape plan and threat against a prosecution witness, a rational juror could,  
28 and would have entertained a reasonable doubt as to the truth of the allegations.

1           10. The evidence submitted by the prosecution in support of the alleged  
2 escape plan and threat against a prosecution witness was insufficient, as a matter of state  
3 and federal law, to prove the commission of any criminal offense but a lay juror,  
4 unschooled in the law, would not so understand absent instructions.  
5

6           11. The determination whether petitioner had planned and/or attempted to  
7 escape and/or had threatened or attempted to kill a prosecution witness or had killed and  
8 robbed a large number of Asians was made by a jury who had just convicted petitioner of  
9 four capital murder charges.  
10

11           12. No written findings were made, nor special verdicts returned by the jury  
12 as to the truth of the allegations that petitioner had planned or attempted a violent escape  
13 or threatened or attempted to kill a prosecution witness or attempted or committed other  
14 violent uncharged or dismissed crimes.  
15

16           13. The above described procedures violated petitioner's constitutional rights  
17 as follows:  
18

19           a. The death sentence was imposed in violation of petitioner's due  
20 process rights and right to an impartial jury because evidence of violent criminality, where  
21 such conduct was never charged or never proven, was introduced at his penalty phase trial.  
22

23           b. The death sentence was imposed in violation of petitioner's Fifth and  
24 Fourteenth Amendment rights to be presumed innocent of a criminal offense unless and  
25 until his guilt is proven beyond a reasonable doubt because there was never any unanimous  
26 determination, beyond a reasonable doubt, that petitioner had planned or attempted to  
27 escape or threatened or attempted to kill a prosecution witness, killed and robbed Asians,  
28 or robbed and kidnapped the victim identified in the dismissed charges.

          c. The death sentence was imposed in violation of the requirement that

1 capital sentencing proceedings be reasonably designed to avoid unreliable, arbitrary or  
2 capricious death judgments.

3 d. The death sentence was imposed in violation of petitioner's right to  
4 due process and the equal protection of the laws as guaranteed by the Fifth and Four-  
5 teenth Amendments because of the arbitrary deprivation of petitioner's state constitutional  
6 right to a jury trial on all disputed factual issues, including factors in aggravation of  
7 sentence.

8  
9 e. Under California law a defendant is constitutionally and statutorily  
10 entitled to a jury trial, requiring proof beyond a reasonable doubt to a unanimous jury, on  
11 all issues of fact. See Cal. Const. Article I, section 16, Penal Code section 1042; People v.  
12 Najera, 8 Cal.3d 504 (1972); People v. Hernandez, 46 Cal.3d 194 (1988).

13  
14 f. By permitting consideration of other crimes allegations as factors in  
15 aggravation of penalty in the absence of a unanimous finding that such crimes had been  
16 proven beyond a reasonable doubt, the instructions arbitrarily deprived petitioner of his  
17 state constitutional right to a jury trial.

18 g. No valid state interest is served by this denial.

19  
20 14. The death sentence was imposed in violation of petitioner's Fourteenth  
21 Amendment rights because petitioner was arbitrarily deprived of the protection of a state  
22 rule of law that mandated proof beyond a reasonable doubt as a necessary prerequisite to  
23 an individual juror's consideration of evidence of other crimes in aggravation of penalty.

24 a. Subsequent to petitioner's trial, the California Supreme Court  
25 interpreted the law applicable to petitioner's trial to require the trial court to instruct the  
26 jury sua sponte that an individual juror must be convinced beyond a reasonable doubt of  
27 the truth of other crimes allegations before the juror could consider such evidence in  
28

1 aggravation.

2 b. The parties and the trial judge were unaware that this rule of law  
3 governed petitioner's penalty trial at the time of trial.

4 c. As a result petitioner was deprived of the protection of procedures  
5 designed to ensure the reliability of "other crimes" evidence which was considered by  
6 individual jurors. The deprivation of such protection created a constitutionally  
7 impermissible risk that the death judgments were unreliable, arbitrary and capricious. U.S.  
8 Const., Amends VIII and XIV.

9 d. Petitioner's death sentence is also invalid because Penal Code section  
10 190.3, subsection (b) is impermissibly vague under the Eighth and Fourteenth  
11 Amendments.

12 (1) The aggravating factors listed in California Penal Code section  
13 190.3 are meant to guide the jury's discretion in deciding to actually impose the death  
14 penalty among the pool of eligible capital defendants. A finding by the jury that the  
15 aggravating factors outweigh the mitigating factors is a necessary prerequisite to a decision  
16 to impose death. See CALJIC No. 8.84.2.

17 (2) Factor (b), listed in section 190.3, directs the jury to consider  
18 in aggravation "[t]he presence or absence of criminal activity by the defendant which  
19 involved the use or attempted use of force or violence or the express or implied threat to  
20 use force or violence."

21 (3) Because the statute does not require instruction, and the trial  
22 court did not instruct the jury as to either the discrete criminal offenses they were to  
23 consider, or the elements of the alleged offenses, or the standard of proof required to  
24 establish such criminality, the lay jurors were free to weigh in aggravation under factor (b)

1 any of petitioner's alleged conduct which they individually viewed as being criminal.

2 (4) The result is that the jurors were permitted to apply factor (b)  
3 in a wholly arbitrary and capricious manner in violation of the Eighth and Fourteenth  
4 Amendments.  
5

6 X.  
7 **[Failure to Distinguish Between Present and Prior Crime]**

8 Petitioner's rights under the Fifth, Sixth, Eighth and Fourteenth Amendments to a  
9 fair and reliable penalty determination were violated by the Court's instructions and the  
10 prosecutor's argument which invited the jury to use the circumstances of the crimes of  
11 which petitioner was convicted and the existence of special circumstances as aggravation  
12 under both factor (a) of California Penal Code Section 190.3 (facts of the present case)  
13 and under factor (b) (other criminal activity involving the use of or threat of force or  
14 violence). Alone, and in combination with other errors that overinflated aggravation while  
15 extinguishing mitigation, see paragraphs S, U, V, and W, these constitutional violations  
16 were prejudicial.  
17

18 This dual use and double counting was constitutionally impermissible because it  
19 violated the Fifth, Eighth and Fourteenth Amendments by denying petitioner a fair and  
20 reliable penalty determination and resulted in the arbitrary and capricious infliction of the  
21 death penalty. The instruction and argument were prejudicial because they improperly  
22 overinflated the aggravating circumstances and extinguished a potential mitigating factor  
23 (absence of violent criminal activity apart from the charged crimes). The state cannot  
24 prove this error had no effect whatever on the verdict or was harmless beyond a  
25 reasonable doubt, especially when combined with the "mandatory weighing formula," see  
26 paragraph T, ante, and other constitutional errors relating to evidence of criminal activity,  
27 see paragraph W. These additional errors have the effect of so impermissibly stacking the  
28

1 deck against petitioner that no similarly instructed jury on the evidence here would have  
2 returned a life without parole verdict.

3 The following facts, among others to be presented after full investigation and  
4 discovery, support this claim:

5 1. Those facts alleged in paragraphs S, T, U, V and W are hereby  
6 incorporated herein by this reference.

7 2. The trial court instructed the jury, inter alia, that in selecting punishment it  
8 shall consider eleven factors, thereafter enumerated by the court. CT 559. The first two  
9 factors were (1) the circumstances of the crimes adjudicated during the guilt phase and the  
10 existence of any special circumstances found true Ibid. and (2) the presence or absence of  
11 criminal activity involving the use of attempted use of force or violence of threatening the  
12 same. Ibid.

13 3. The prosecutor told the jurors that "the circumstances of the crime of  
14 which the defendant is convicted are things that you take into consideration as one of the  
15 guidelines, on the factors in determining whether the aggravating factors outweigh or don't  
16 outweigh the mitigating factors: "the presence or absence of criminal activity by the  
17 defendant which involved the use or attempted use of force or violence or for the express  
18 or implied threat to use force or violence."

19 "And, of course, here we have overwhelming evidence of the defendant's use of  
20 force and violence, almost for their own sake, not because they're necessary, just because  
21 he wants to use force and violence." RT 3031.

22 4. A reasonable juror, hearing the instruction and this argument, could and  
23 would conclude that he or she could consider the circumstances of the present convictions  
24 as demonstrating the existence of two aggravating factors, that is, factors (a) and (b) as set  
25

forth in the instruction. CT 559.

**Y.**

**[Juror Receipt of Extrinsic Evidence/  
Inadequate Trial Court Inquiry]**

Juror misconduct, in the form of a misperceived comment by petitioner to his lawyer, which occurred at the conclusion of the guilt phase and just prior to the penalty phase, denied petitioner his due process right to a fair trial, his Eighth Amendment right to a reliable penalty phase verdict obtained solely on the basis of record evidence, and his Sixth Amendment rights to confront the evidence against him and to a fair and impartial jury. Petitioner's Fifth, Sixth, Eighth, and Fourteenth Amendment rights were further denied by the trial court when it refused to inquire whether any juror had discussed the comment with any other juror and whether any jurors' impartiality would be affected thereby.

The following facts, among others to be presented after full investigation and discovery, support this claim:

1. On Friday, March 13, 1981, following the receipt of the jury's guilty verdicts, petitioner asked his lawyer "something to the effect, 'Are those the sons-of-bitches who are going to decide what happens to me.'" RT 3074. The court reporter heard only a portion ("sons of bitches") of the comment, which was directed by petitioner solely to his counsel. RT 2986-U, 3074. The transcript reveals this portion of the comment.

2. Jurors seated in the center of the jury box told other jurors, including an alternate juror, that petitioner said "I'm going to get each and everyone of you motherfuckers." RT 30713078. On the day the penalty jury deliberations began, an alternate juror, (who was obviously not in the jury room during penalty deliberations), told the bailiff the jury believed petitioner threatened them. She said that she did not hear the



1 comment but that the jurors in the center said petitioner looked at them and said he would  
2 get them all.

3 3. On March 18, 1981, the trial court questioned the other alternate jurors,  
4 but mistakenly asked them whether they had heard a comment "today" or whether  
5 petitioner directed a comment to them "today." (The alleged comment to counsel had been  
6 made on March 13.) All of the alternates said "not today" or "no, nothing today."

8 4. Petitioner's lawyer asked the court to consider inquiry to the regular  
9 panel and to perhaps consider declaring a mistrial. Counsel suggested that if the jurors  
10 believed petitioner had threatened them they would lose their impartiality and become  
11 advocates who had a stake in the outcome. He was concerned they would base their  
12 verdict on something that was misperceived and was not evidence.

14 5. Instead, the court questioned the alternates and thereafter the fore  
15 person. In questioning the fore person, the court learned that a verdict had been reached,  
16 that the jury did not discuss the alleged comment as a body until after the verdict had been  
17 reached. The fore person had seen petitioner's mouth moving but could not make out the  
18 comment; one of the other jurors told him what petitioner allegedly said. The fore person  
19 told the court the comment did not play any part in the deliberations. Until questioning  
20 the fore person the court was unaware a verdict had been reached.

22 6. The court then requested that the entire jury be brought in and the court  
23 received the verdict. RT 307903081.

24 7. Petitioner's counsel again asked that individual inquiry be made of each  
25 juror out of the presence of the others as to whether the comment was directed to the  
26 juror, how the juror heard about it, whether it was discussed among them, and whether it  
27 affected the outcome. The trial court and the prosecutor took the position that no further  
28

1 inquiry was necessary because the fore person said that the matter was not discussed until  
2 after the verdict was returned.

3 8. The trial court declined to question the juror or jurors who perceived  
4 (either visually or aurally) the comment, declined to ask the regularly seated jurors  
5 whether they discussed the comment, what they knew, whether it would affect their  
6 deliberations, and/or whether they could remain on the jury as fair and impartial jurors.  
7

8 9. The comment was not record evidence and was not directed to the jury,  
9 but was part of a conversation between counsel and his client. The only reason that the  
10 jury was able to "see" or otherwise perceive the discussion was due to its position in the  
11 courtroom.  
12

13 **Z.**

14 **[Exclusion of Photographs and Argument]**

15 Petitioner was denied his federal rights under the Fifth, Sixth, Eighth and  
16 Fourteenth Amendments, to due process, to produce evidence in his own behalf, to the  
17 effective assistance of counsel, and to a reliable penalty determination, by the trial court's  
18 ruling precluding him from producing evidence relevant to a critical issue at the  
19 punishment phase of trial. In the present case that evidence related to the sentence to be  
20 selected.  
21

22 The following facts, among others to be presented after full investigation and  
23 discovery, support this claim:

24 1. Petitioner sought to introduce photographs of a California execution.  
25 The trial court ruled them inadmissible and precluded any reference to them. The court  
26 also precluded counsel from describing how executions are carried out at San Quentin.  
27 RT 3062-3064.  
28

2. California Penal Code section 190.3 provides that at the penalty phase of

1 a capital trial evidence may be presented as to any matter relevant to aggravation,  
2 mitigation, and sentence.

3 3. An account of the process of executing a person is relevant to the  
4 sentence and is necessary if the jury is to impose a constitutionally justified sentence.

5 4. To be constitutional, the death sentence must be proportional to the  
6 crime and the offender and serve the purposes of retribution and deterrence.

7 5. The jury here could not determine whether the death sentence was  
8 appropriate without knowledge of the specific nature of the contemplated sentence. The  
9 purpose of the proffered evidence was to convince the jury that the retributive and  
10 deterrent purposes would not be furthered by imposing the death penalty on petitioner.

11 6. The trial court's ruling precluded counsel from trying to so convince the  
12 jury and precluded counsel from vigorously so arguing to the jury.

13 7. The error was prejudicial because the resulting penalty determination was  
14 unreliable and the state cannot prove beyond a reasonable doubt that the exclusion of the  
15 proffered defense evidence had no effect whatever on the jury's penalty determination  
16 particularly because it would have constituted the only evidence presented on the nature of  
17 the sentence.

18 AA.

19 [Unconstitutionality of Statute]

20 The California death penalty law does not provide for (1) specific and objective  
21 enumeration of aggravating and mitigating factors to guide the jury; (2) a requirement of  
22 written findings on any aggravating factors found to be true; (3) a requirement that the  
23 prosecution prove the existence of any aggravating factors beyond a reasonable doubt; (4)  
24 a requirement of penalty jury unanimity regarding the presence of an aggravating factor  
25 found in support of the death judgment; (5) a requirement that the penalty jury determine

1 beyond a reasonable doubt and unanimously that the aggravating circumstances outweigh  
2 the mitigating circumstances and that death is the appropriate penalty beyond a reasonable  
3 doubt and (6) comparative appellate review to prevent inconsistency, arbitrariness,  
4 disproportionality, and discrimination.

5  
6 The statute's mandatory formula ("if aggravating outweighs mitigation, you shall  
7 impose a sentence of death") creates a presumption of death, while the other features  
8 result in arbitrary and capricious imposition by the jury of the sentence of death and  
9 affirmance thereof by the California Supreme Court.

10 **AB.**

11 **[Illegal Jail Monitoring]**

12 Petitioner's First, Fifth, Sixth, Eighth, and Fourteenth Amendment rights to privacy,  
13 to the effective assistance of counsel, to competent expert assistance, to present a defense  
14 and to present mitigating evidence, to a fair trial and to a reliable guilt and penalty  
15 determination were violated by the state's monitoring of petitioner's conversations and  
16 sealed documents pertaining to his case.

17  
18 The facts supporting this claim, among others to be presented after adequate  
19 funding, discovery and a hearing, are:

20 1. During trial, the prosecutor acknowledged that petitioner's jail  
21 conversations were monitored during the months petitioner was preparing for trial.

22  
23 2. Jail personnel obtained confidential documents appointing a defense  
24 psychiatrist to assist counsel. The state learned an application for expert assistance had  
25 been made by counsel, in contravention of state law which provides that the fact of the  
26 application, as well as its contents, is to be confidential.

27 3. As a result of the breach of confidentiality concerning the mental health  
28 expert's appointment and identity, and as a result of the monitoring, he refused to provide

1 assistance because he was unwilling to talk to a defendant whose conversation was being  
2 monitored.

3 4. These violations of petitioner's constitutional rights deprived him of the  
4 effective assistance of his counsel and the ancillary services of a mental health professional.  
5 They were prejudicial. But for the breaches, such expert assistance would have been  
6 forthcoming.  
7

8 WHEREFORE Petitioner prays that this Court:

9 5. Issue a writ of habeas corpus to have petitioner brought before it to the  
10 end that he might be discharged from his unconstitutional confinement and restraint  
11 and/or relieved of his unconstitutional sentence of death;  
12

13 6. Conduct a hearing at which proof may be offered concerning the  
14 allegations in this Petition;

15 7. Permit petitioner, who is indigent, to proceed without prepayment of  
16 costs and fees, and appoint counsel to represent him;  
17

18 8. Grant petitioner, who is indigent, sufficient funds to secure expert  
19 testimony necessary to prove the facts as alleged in this petition and to further investigate  
20 the facts in support of the claims alleged herein;

21 9. Grant petitioner the authority to obtain subpoenas in forma pauperis for  
22 witnesses and documents necessary to prove the facts alleged in this petition;

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10. Grant petitioner the right to conduct discovery;
  11. Stay petitioner's execution pending final disposition of this petition; and
  12. Permit petitioner to amend this petition to allege other bases for his unconstitutional confinement as such are discovered.
  13. Grant such other and further relief as may be appropriate.

Dated: November 13, 1995

JERRY L. NEWTON  
NORMAN D. JAMES

By: 

Attorneys for Petitioner  
STANLEY WILLIAMS

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DECLARATION

I, Jerry L. Newton, declare and state as follows:

1. I am an attorney at law and a member of the Bar of this Court. The facts set forth in this declaration are known personally to me, and if called as a witness I could competently testify thereto.

2. On November 6, 1995, an order was entered appointing myself and Norman D. James, Esq. as attorneys of record for petitioner Stanley Williams. Copies of the order of appointment were received by both Mr. James and myself on November 9, 1995.

3. On November 10, 1995, Mr. James discussed the status of this petition with Bert H. Deixler, former counsel for Mr. Williams. Mr. Deixler advised Mr. James that he had prepared an amended petition for filing with this Court prior to being advised that new counsel was being appointed to represent Mr. Williams. The instant Amended Petition For Writ of Habeas Corpus By a Person In State Custody is the petition prepared by Mr. Deixler.

4. Mr. James and I have only had time to briefly familiarize ourselves with the case and read the petition drafted by former counsel. However, based upon the discussion had with Mr. Deixler and others, it is our opinion that Mr. William's interests could be adversely affected if the petition is not filed immediately due to pending legislation which may be construed to negatively impact a petitioner's right to file an amended petition.

5. Based upon information and belief, I believe the contents of the petition are true.

I declare under penalty of perjury under the laws of the United States that the foregoing is true and correct.

Executed this 13th day of November, 1995 at Hermosa Beach, California.

  
JERRY L. NEWTON

1 PROOF OF SERVICE

2 STATE OF CALIFORNIA )

3 COUNTY OF LOS ANGELES )

4 I am employed in the County of Los Angeles, State of California.  
5 I am over the age of 18 and not a party to the within action. My  
6 business address is 53 Pier Avenue, 2nd Floor, Hermosa Beach,  
7 California 90254-3800. On November 13, 1995, I served the foregoing  
8 document described as: AMENDED PETITION FOR WRIT OF HABEAS CORPUS BY  
9 A PERSON IN STATE CUSTODY on all interested parties in this action:

10 By placing \_\_\_ the original X a true copy thereof enclosed  
11 in a sealed envelope addressed to:

12 SEE ATTACHED SERVICE LIST

13 Mail X I deposited such envelope in the mail at Hermosa Beach,  
14 California. The envelope was mailed with postage thereon  
15 fully prepaid.

16 \_\_\_ As follows: I am "readily familiar" with the firm's  
17 practice of collection and processing correspondence for  
18 mailing. Under that practice, it would be deposited with  
19 U.S. Postal Service on the same day with postage thereon  
20 fully prepaid at Hermosa Beach, California in the  
21 ordinary course of business. I am aware that on motion  
22 of the party served, service is presumed invalid if postal  
23 cancellation date or postage meter date is more than one  
24 day after date of deposit for mailing in affidavit.

25 Executed November 13, 1995, 1995

26 \_\_\_ (State) I declare under penalty of perjury under the laws  
27 of the State of California that the foregoing is true and  
28 correct.

29 X (Federal) I declare that I am employed in the office of a  
30 member of the Bar of this Court at whose direction the  
31 service was made.

32   
33 JERRY L. NEWTON



SERVICE LIST

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